



Before

Abid Hussain - Head of Department (MS & SID)

In the matter of Show Cause Notice issued to Mrs. Sana Anis

Under Section 15A of the Securities and Exchange Ordinance 1969

Date of Hearing

December 30, 2014

Present at the Hearing

- Mr. Muhammad Anis (on behalf of Mrs. Sana Anis)

Assisting Head of Department (MS & SID)

- Mr. Amir Saleem - Deputy Director (MS & SID)

**ORDER**

This Order shall dispose of the proceedings initiated through Show Cause Notice ("SCN") No. SMD/MSW/FR-SA/69/2014-729, dated October 15, 2014 issued by Securities and Exchange Commission of Pakistan (the "Commission") under Section 15 A of the Securities and Exchange Ordinance 1969 (the "Ordinance") to Mrs. Sana Anis, client of Munir Khanani Securities (Pvt.) Ltd.

2. Brief facts of the case are that Mrs. Sana Anis UIN 45504-1002649-0 is the client of Munir Khanani Securities (Pvt.) Ltd bearing Trading Account No. F-727, detail of which is as follows:

<b>Name</b>	<b>Sana Anis</b>
Member Name	M. Munir Khanani Securities (Pvt.) Ltd.
Client Code	F727
Account Opening Date	15-Apr-11

3. Review of trading record of Mrs. Sana Anis for the period starting from April 15, 2011 to August 24, 2014 ("Review Period") revealed that considerable amount of her trading matched with the trading of clients of Fawad Yousaf Securities (Pvt.) Ltd ("FYSL"). Detail of which is as follows:

- Total Buy and Sell of Sana Anis

During the period	Shares
Total Buy	2,024,656
Total Sell	2,360,568



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- Transaction matched with FYSL clients

From FYSL	Shares	%age
Total Buy	352,151	17.39%
Total Sell	1,421,317	60.21%

4. Detail of transactions carried out by Mrs. Sana Anis matched with the clients of FYSL are enclosed as Annexure - A, which reflects that most of the trades were 100% matched with the trades executed through Mr. Muhammad Anis for the clients of FYSL.
5. NADRA verisys of Mrs. Sana Anis revealed that she is wife of Mr. Muhammad Anis, who works with FYSL as KATS operator. Furthermore, Standard Account Opening Form of Mrs. Sana Anis shows that Mr. Muhammad Anis is her nominee. Mr. Muhammad Anis and Mrs. Sana Anis also have a joint bank Account # 1-2-13-20311-714-103605 maintained with Summit Bank Limited.
6. In view of the above mentioned, it was observed that *prima facie* Mr. Muhammad Anis was providing material information pertaining to the orders of the clients of FYSL, to his wife Mrs. Sana Anis, which was available to him by the virtue of his position as KATS Operator at FYSL. Therefore, Show Cause Notice under Section 15 A read with Section 22 of the Ordinance was issued to Mrs. Sana Anis to show cause as to why penal action may not be taken against her under Section 15E of the Ordinance.
7. Mrs. Sana Anis did not respond to the aforesaid SCN. Therefore, a hearing in the matter was fixed on December 30, 2014. The hearing was attended by Mr. Muhammad Anis on behalf of Mrs. Sana Anis.
8. During the hearing proceedings, Mr. Muhammad Anis admitted the noncompliance with the provisions of the Ordinance and submitted the following arguments:
  - He had been passing on information pertaining to the orders of clients of FYSL to his wife Mrs. Sana Anis to earn money to meet the personal expenditure.
  - Sana Anis and he himself was not aware of the fact that such activity is prohibited under the Law.
  - He belongs to a poor family. He had to earn money to marry his two sisters and meet his family expenses.
  - He is currently unemployed and do not have funds to pay any penalty, if imposed by the Commission.
  - He assured that he will comply with the relevant provision of law in letter and spirit.
  - He prayed that a lenient view may be taken in the matter.
9. I have examined the record available with the Commission and deliberation made during the hearing proceedings. It is evident that the Mr. Muhammad Anis being an insider disclosed the material non-public information relating to trading orders of the clients of FYSL, to his wife Mrs. Sana Anis, who traded in the scrips as stated in preceding paragraphs, which resulted in significant amount of profit to her owing to the availability of inside information.



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10. Mrs. Sana Anis is involved in the front running of the clients of FYSL and earned an accumulated profit of Rs. 1,888,386/- (Rupees one million eight hundred eighty eight thousand three hundred eight six only) through these transactions. Such information classifies as 'Inside Information' within the scope and meaning of Section 15B (1) (c) of the Ordinance reproduced below:

#### *Section 15B of the Ordinance - Inside Information*

(1) The expression "inside information" means, -

(c) in relation to persons responsible for the execution of orders concerning listed securities, information which is conveyed by a client to such person and related to the client's pending orders.

11. Mr. Muhammad Anis by virtue of his position/employment with FYSL establishes that he is an 'Insider' as defined in Section 15C (1) (g) of the Ordinance:

#### *Section 15C of the Ordinance - Insiders*

Insider shall include, -

(g) any person obtaining inside information as part of his employment or when discharging his usual duties in an official capacity, or in any other way relating to work performed under contract of employment or otherwise;

12. In this regard, it has been established that Mrs. Sana Anis has violated the relevant provisions of the Ordinance, and traded based on the inside information pertaining to the orders of the clients of FYSL. Mrs. Sana Anis has indulged in insider trading based on the information available with her and hence failed to comply with the provisions of the Section 15A of Ordinance, which attract the penal provisions of Section 15E of the Ordinance that reads as under:

#### *Section 15E of the Ordinance - Liability for contravention*

1. Any person who contravenes the provisions of subsection (1) of section 15A shall, on being found guilty of contravention by the Commission, be liable to fine, which may extend to ten million rupees or three times the amount of gain made or loss avoided by such person, or loss suffered by another person, whichever amount is higher.

2. In addition to the fine imposed under sub-section (1), such person,-

(a) may be directed by the Commission, -

(i) to surrender to the Commission, an amount equivalent to the gain made or loss avoided by him; or

(ii) to pay any other person who has suffered a loss, an amount equivalent to the loss so suffered by such person; and

(b) may, where such person is an executive officer, director, auditor, advisor, consultant of a listed company, be removed from such office by an order of the Commission and debarred from auditing any listed company for a period of up to three years; or

(c) may, where such person is registered as a broker or agent, be liable to cancellation of registration.

13. Before concluding the case, I would like to discuss the front running and its consequences and why it is illegal. Front running means buying or selling of securities ahead of a client's order so as to benefit from the subsequent price movement. This denotes persons



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dealing in the market, knowing that a transaction will take place in the near future and that price of share is likely to move in his favor. Front running is not only unethical but at the same time it is illegal. It is imperative that a broker and its employees acting on behalf of an investor must execute the investor's order in a way that is most advantageous to the investor rather themselves. By front running, the Broker or its officer acts in an unethical manner, putting his own interest above than that of his client, thereby causing a fraud. In fact the KATS operator in the instant case was stealing a part of money from the clients which otherwise they won't have to pay.

14. In view of the above, I am of the considered view that Mrs. Sana Anis violated the provisions of the Ordinance. Therefore, in exercise of powers under Section 15E of the Ordinance, I hereby impose a penalty of Rs. 1,888,386/- (Rupees one million eight hundred eighty eight thousand three hundred eight six only) on Mrs. Sana Anis for trading based on the material information passed on by her husband Mr. Muhammad Anis pertaining to the orders of clients of FYSL, in violation with the provision of Section 15A of the Ordinance.

15. The matter is disposed of in the above manner and Mrs. Sana Anis is directed to deposit the penalty in the account of the Commission being maintained in the designated branches of MCB Bank Limited within 30 days from the date of this order and furnish the copy of the deposit challan to the undersigned.

This order is issued without prejudice to any other action that the Commission may initiate in accordance with any other provision(s) of law time being enforced.

**ABID HUSSAIN**  
Head of Department - MS & SID

Announced on January 14, 2015  
Islamabad

NAME SANA ANIS  
MEMBER\_NAME M. Munir Khanani Securities (Pvt) Ltd.

Trades executed by Sana Anis

CODE	Date	B			S		
		QTY	Avg Trade Price	Amount	QTY	Avg Trade Price	Amount
AACIL	2012-03-19	3,000	3.80	11,400	3,000	4.08	12,240
ABOT	2011-05-11	1,000	91.00	91,000	1,000	91.42	91,781
	2012-05-17	1,512	117.22	176,382	1,512	117.99	176,401
	2012-05-18	500	116.00	58,000			
	2012-05-21				505	117.05	59,110
ACPL	2014-01-09	2,500	144.00	360,000			
	2014-01-10				1,000	145.49	145,490
	2014-01-13				1,000	145.39	145,390
	2014-01-16	2,000	148.40	296,800	2,000	149.77	299,530
	2014-04-17	5,000	148.75	743,750	5,000	149.60	748,000
AGIL	2014-04-11	500	85.51	42,755			
	2014-05-12				500	82.43	41,225
AGTL	2013-12-26	100	211.00	21,100			
	2013-12-27	600	211.00	126,600			
	2014-01-01				700	218.38	152,925
AKBL	2013-04-04				2,000	19.06	38,120
AKZO	2014-06-30	4,500	167.69	754,725			
	2014-06-30	2,200	172.40	379,280	6,700	177.00	1,185,900
APE	2011-09-14	750	382.55	286,925	750	387.98	290,985
	2012-10-19	800	490.00	392,000	800	492.91	394,328
	2013-08-12	400	571.00	228,400	200	572.49	114,498
	2013-08-13				200	574.90	114,980
ATBA	2014-05-29	400	471.33	188,400	400	473.90	189,560
ATLH	2012-10-17	3,000	134.16	402,480			
	2012-10-18				3,000	136.84	410,520
	2012-11-19	5,000	134.91	674,550	5,000	136.00	680,000
	2012-11-23	3,800	139.84	531,211			
	2012-11-26				3,800	142.33	540,860
	2012-11-30	3,000	143.80	431,400	2,000	145.50	291,000
	2012-12-04	3,000	145.15	435,450	3,200	146.94	470,208
	2012-12-05				900	146.90	132,210
	2012-12-19	4,100	149.16	611,556	2,100	151.23	316,675
	2012-12-20				2,000	152.01	304,020
	2012-12-31	1,100	152.60	167,860	500	154.28	77,140
	2013-01-02	1,000	148.95	148,950	1,000	151.80	151,800
	2014-01-17	2,000	273.00	546,000			
	2014-01-20				2,000	277.00	554,000
	2014-06-30	1,000	222.00	222,000	500	232.90	116,450
ATRL	2011-05-30	3,500	133.01	465,535	3,500	133.35	466,725
	2011-06-09	3,115	134.00	417,410	3,115	134.35	418,500
BIPL	2011-12-30	10,000	3.10	31,000			
	2012-01-03				10,000	3.42	34,200
	2013-12-31	15,500	7.16	110,945	15,500	7.22	111,910
CHCC	2012-01-03	6,000	8.61	51,660	6,000	8.84	53,040
	2012-01-04	22,542	9.49	213,880	18,542	9.69	181,617
	2012-01-05				4,000	9.47	37,880
CPL	2013-01-10	200	273.25	54,650			
	2013-01-14				200	274.74	54,948
DAWH	2014-05-29	10,000	79.62	796,200	10,000	79.72	797,200
	2014-06-03				5,000	79.40	397,000
DGKC	2012-07-02	10,000	40.81	408,100	10,000	40.97	409,740
	2012-07-03	10,000	41.11	411,100			
DINT	2013-05-15	35,000	73.80	2,583,000	35,000	74.05	2,596,750
	2012-12-21	1,000	36.20	36,200			
	2013-11-28	2,000	74.01	148,020			
	2013-11-29				2,000	77.70	155,400
	2013-12-02	1,000	77.80	77,800	1,000	77.80	77,800
	2014-01-09	500	138.00	69,000	500	134.20	67,100
	2013-01-17	500	136.00	68,000			
	2014-01-20				500	131.00	65,500
	2014-01-26	500	143.08	71,540	500	151.30	75,650
	2014-01-19	1,000	140.00	140,000	1,000	146.75	146,750
	2014-05-12	1,000	112.50	112,500	1,000	112.50	112,500
EHOODS	2012-07-03	5,000	66.30	331,650	5,000	66.44	332,200
	2012-07-03	4,011	66.66	266,801	4,011	66.77	267,445
EEEG	2014-02-03	2,500	121.37	303,425	1,000	122.95	122,950
	2014-02-04				1,000	124.80	124,800

Detail of Share bought/sold to the client of Fawad Yousaf Securities (Pvt) Ltd

CODE	Date	COUNTER_MEM_NAME	B			S			Buy %age matched	Sale %age matched	fit
			QTY	Amount	Avg Trade Price	QTY	Amount	Avg Trade Price			
AACIL	2012-03-19	FYSL				3,000	12,240	4.08	0%	100%	840
ABOT	2011-05-11	FYSL				990	90,871	91.63	0%	99%	619
	2012-05-17	FYSL				1,512	178,401	117.99	0%	100%	1,172
	2012-05-21	FYSL				505	59,110	117.05	0%	100%	530
ACPL	2014-01-10	FYSL				1,000	145,490	145.49	0%	100%	1,490
	2014-01-13	FYSL				1,000	145,390	145.39	0%	100%	1,390
	2014-01-16	FYSL	2,000	296,800	148.40	1,500	224,700	149.80	100%	75%	2,100
	2014-04-17	FYSL				5,000	748,000	149.60	0%	100%	6,750
AGIL	2014-05-12	FYSL				500	41,225	82.43	0%	100%	(1,580)
AGTL	2014-01-01	FYSL				700	152,925	218.38	0%	100%	5,163
AKBL	2013-04-04	FYSL				500	9,530	19.06	0%	25%	
AKZO	2014-06-30	FYSL	300	51,705	172.35	6,700	1,185,900	177.00	14%	100%	51,585
APE	2011-09-14	FYSL				750	287,235	382.98	0%	100%	323
	2012-10-19	FYSL				800	394,320	492.90	0%	100%	2,320
	2013-08-12	FYSL				200	114,980	574.90	0%	100%	780
	2013-08-13	FYSL				400	189,560	473.90	0%	100%	1,027
ATBA	2014-05-29	FYSL				400	188,400	471.00	0%	100%	1,027
ATLH	2012-10-18	FYSL				3,000	410,520	136.84	0%	100%	8,050
	2012-11-19	FYSL				5,000	680,000	136.00	0%	100%	15,444
	2012-11-26	FYSL				3,800	540,860	142.33	0%	100%	9,458
	2012-11-30	FYSL	3,000	431,400	143.80				100%	0%	
	2012-12-04	FYSL	3,000	435,450	145.15				100%	0%	
	2012-12-05	FYSL				700	102,630	146.60	0%	88%	1,225
	2012-12-19	FYSL	4,100	611,556	149.16	2,100	316,675	151.25	100%	100%	5,449
	2012-12-20	FYSL									
	2012-12-31	FYSL	1,100	167,860	152.60				100%	0%	
	2013-01-02	FYSL	1,000	148,950	148.95				100%	0%	
	2014-01-17	FYSL				1,800	273,240	151.80	0%	100%	2,210
	2014-01-20	FYSL				2,000	354,000	177.00	0%	100%	14,000
	2014-06-30	FYSL				300	69,870	232.90	0%	100%	3,270
ATRL	2011-05-30	FYSL				3,500	466,725	133.35	0%	100%	1,225
	2011-06-09	FYSL				3,115	418,500	134.35	0%	100%	1,090
BIPL	2011-12-30	FYSL				10,000	34,200	3.42	0%	100%	3,280
	2013-12-31	FYSL				15,500	111,910	7.22	0%	100%	908
CHCC	2012-01-03	FYSL				6,000	53,040	8.84	0%	100%	1,400
	2012-01-04	FYSL	500	4,684	9.37	18,399	180,246	9.72	2%	90%	4,126
	2012-01-05	FYSL				4,000	37,880	9.47	0%	100%	(80)
CPL	2013-01-14	FYSL				200	54,948	274.74	0%	100%	208
DAWH	2014-05-29	FYSL				5,000	398,600	79.72	0%	50%	525
	2014-06-03	FYSL				5,000	397,000	79.40	0%	100%	(1,075)
DGKC	2012-07-02	FYSL	10,000	408,100	40.81				100%	0%	
	2012-07-03	FYSL	10,000	411,100	41.11				100%	0%	
DINT	2013-05-15	FYSL				29,500	2,182,900	74.05	0%	84%	7,338
	2013-11-29	FYSL				2,000	155,400	77.70	0%	100%	6,150
	2013-12-02	FYSL				1,000	77,800	77.80	0%	100%	2,840
	2014-01-09	FYSL				500	67,100	134.20	0%	100%	3,100
	2014-01-17	FYSL	500	68,000	136.00				100%	0%	
	2014-02-06	FYSL				500	72,150	144.30	0%	100%	500
	2014-01-19	FYSL				1,000	146,750	146.75	0%	100%	6,750
	2014-05-12	FYSL				1,000	112,500	112.50	0%	100%	
EHOODS	2012-07-03	FYSL				5,000	332,200	66.44	0%	100%	71
	2012-07-03	FYSL				4,011	267,445	66.66	0%	100%	1,147
EEEG	2014-02-03	FYSL				1,000	122,950	122.95	0%	100%	736
	2014-02-04	FYSL				1,000	124,800	124.80	0%	100%	1,250

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EPCL	2013-04-05	5000	1185	59,250					EPCL	2013-04-12	FYSL									
EXIDE	2012-07-09	520	175.87	91,445					EXIDE	2012-07-17	FYSL			5000	62,000	12.40	0%	100%	2,750	
	2012-07-18				235	172.85	40,620			2012-07-18	FYSL			235	40,620	172.85	0%	100%	(700)	
	2014-01-13	500	375.00	187,500	500	389.00	194,500			2014-01-13	FYSL			500	34,900	174.50	0%	100%	(270)	
	2014-01-22	800	373.95	299,170						2014-01-23	FYSL			800	194,500	389.00	0%	100%	7,000	
	2014-04-02	500	370.50	185,250						2014-04-04	FYSL			500	303,920	379.90	0%	100%	4,750	
	2014-04-04				500	377.80	188,900			2014-04-04	FYSL			500	188,900	177.80	0%	100%	3,630	
FCCL	2012-07-16	84,564	5.79	490,210	61,649	5.86	356,256		FCCL	2012-07-16	FYSL			48,651	286,068	5.88	0%	80%	4,312	
	2012-07-17	24,000	5.86	119,410	22,915	5.91	1,349,759			2012-07-17	FYSL			227,804	1,349,104	5.92	0%	100%	151,29	
	2012-07-18	72,944	5.83	425,294	72,944	5.89	430,359			2012-07-18	FYSL			71,338	420,891	5.90	0%	98%	4,632	
	2013-06-20	25,000	13.47	336,750						2013-06-20	FYSL	25,000	336,750	13.47			100%	0%		
FDIBL	2011-10-14	43,577	1.15	49,816	43,577	1.24	54,035		FDIBL	2011-10-14	FYSL			43,577	54,035	1.24	0%	100%	3,819	
FECTC	2012-11-19	2,000	37.00	74,000	2,000	37.24	74,480		FECTC	2012-11-19	FYSL			2,000	74,480	37.24	0%	100%	480	
FEROZ	2012-12-17	500	80.00	40,000						2012-12-19	FYSL			1,500	125,085	83.39	0%	100%	3,335	
	2012-12-18	1,000	81.75	81,750						2012-12-24	FYSL			1,000	93,320	93.32		0%	0%	
	2012-12-19				1,500	83.39	125,085			2013-04-24	FYSL			7,500	623,575	83.06	0%	100%	14,900	
	2012-12-24	500	81.00	40,500						2013-06-10	FYSL			3,000	340,560	113.52		0%	100%	17,955
	2012-12-27	5,500	80.98	445,400						2013-06-12	FYSL	3,000	340,560	113.52			100%	0%	1,610	
	2012-12-28	1,500	81.78	122,775	7,500	83.06	623,575			2013-06-13	FYSL			4,500	515,025	114.45	0%	100%	6,900	
	2013-04-24	1,000	93.32	93,320	500	94.25	47,125			2013-06-19	FYSL			500	55,005	110.01	100%	0%		
	2013-06-07	3,500	104.75	366,500						2013-07-23	FYSL	4,500	537,270	119.44			100%	0%		
	2013-06-10				3,500	109.88	384,380			2013-10-21	FYSL			500	50,490	100.98	0%	50%	4,900	
	2013-06-12	3,000	113.52	340,560	3,000	113.99	341,970			2013-12-10	FYSL			500	86,490	136.98	0%	100%	490	
	2013-06-13	4,500	112.92	508,125	4,500	114.45	515,025			2014-02-04	FYSL			500	89,400	178.81	0%	100%	650	
	2013-06-19	500	110.01	55,005						2014-02-06	FYSL			1,500	266,400	177.60	0%	100%	2,400	
	2013-07-23	4,500	119.44	537,270	4,500	121.04	548,300			2014-02-07	FYSL			1,500	298,350	178.90	0%	100%	2,850	
	2013-10-21	500	100.98	50,490						2014-06-04	FYSL			6,500	1,507,990	232.00	0%	100%	22,734	
	2013-12-10	500	136.98	68,490						2014-06-04	FYSL			13,174	552,911	41.93	0%	100%	1,713	
	2013-12-16	500	136.98	68,490						2014-07-20	FYSL			400	205,200	513.00	0%	80%	10,738	
	2014-02-04	1,000	177.60	177,600	500	178.80	89,400			2014-07-21	FYSL			500	264,500	529.00	0%	100%	10,000	
	2014-02-06	1,500	177.60	266,400	1,500	177.60	266,400			2014-07-22	FYSL	300	162,000	540.00			100%	0%		
	2014-02-07	1,000	177.00	177,000	1,400	178.90	268,350			2014-07-30	FYSL	200	124,800	624.00			100%	100%	13,350	
	2014-06-04	6,500	232.00	1,507,990	6,500	232.00	1,507,990			2014-08-25	FYSL			500	49,565	99.13				
FBBL	2011-05-02	13,174	41.93	552,911					FBBL	2011-05-02	FYSL			13,174	552,911	41.93	0%	100%	1,713	
FZTM	2014-01-20				500	513.00	256,500			2014-01-21	FYSL			500	264,500	529.00	0%	100%	10,000	
	2014-01-21	500	529.00	264,500	300	367.58	111,116			2014-01-22	FYSL			300	196,600	668.50	100%	100%	13,350	
	2014-01-22	300	367.58	111,116						2014-01-30	FYSL			500	205,200	513.00	0%	80%	10,738	
	2014-01-30	200	668.50	133,700						2014-01-31	FYSL			500	264,500	529.00	0%	100%	10,000	
GADI	2011-08-25	1,001	71.39	71,380	2,001	72.30	144,824		GADI	2011-08-25	FYSL			2,001	144,824	72.30	0%	100%	4,114	
	2011-09-30	773	66.99	51,780	773	66.99	51,780			2011-09-30	FYSL			773	51,780	66.99	0%	100%	3,028	
	2011-10-04	1,200	66.70	79,220	1,000	66.70	66,700			2011-10-04	FYSL			1,000	66,700	66.70	0%	100%	933	
	2011-10-07	200	66.40	13,280						2011-10-07	FYSL			200	13,280	66.40	0%	100%	327	
GATI	2012-09-24	500	99.13	49,565					GATI	2012-09-24	FYSL	500	49,565	99.13			100%	0%		
	2012-12-13	500	122.00	61,000						2012-12-13	FYSL	500	61,000	122.00			100%	0%		
	2012-12-14				500	126.09	64,045			2012-12-14	FYSL			500	64,045	128.09	0%	100%	1,043	
	2013-01-21	500	160.25	80,125						2013-01-21	FYSL			500	80,125	160.25	0%	0%		
	2013-01-24	500	178.00	89,000						2013-01-24	FYSL			500	89,000	178.00				
	2013-01-30	500	185.00	92,500						2013-01-30	FYSL			500	96,250	192.50	0%	100%	7,250	
	2013-02-12	1,000	185.00	185,000	1,000	189.00	189,000			2013-02-12	FYSL			1,000	189,000	189.00	0%	100%	14,000	
	2013-04-03	500	188.00	94,000						2013-04-03	FYSL			500	91,000	182.00				
GLAT	2013-12-13	1,000	128.90	128,900	1,000	128.90	128,900		GLAT	2013-12-13	FYSL			1,000	128,900	128.90	0%	100%	2,400	
	2013-12-16	1,000	124.25	124,250						2013-12-19	FYSL			5,500	447,300	127.80	0%	100%	16,650	
	2013-12-18	500	122.00	61,000						2014-01-01	FYSL			900	110,800	132.00				
	2013-12-19	2,000	122.70	245,400	1,500	127.80	191,700			2014-01-01	FYSL	2,000	276,000	138.00			100%	0%		
	2014-01-01	900	132.00	118,800	900	140.00	126,000			2014-01-02	FYSL			1,900	276,000	147.00	100%	100%	17,100	
	2014-01-02	2,000	138.00	276,000	1,000	147.00	147,000			2014-01-06	FYSL			500	73,500	147.00	100%	85%	3,000	
	2014-01-06	300	141.00	70,500	600	146.00	87,600			2014-01-20	FYSL			1,000	130,900	136.40	0%	100%	4,900	
	2014-01-20	1,000	132.00	132,000	1,000	136.00	136,000			2014-01-29	FYSL			722	46,507	64.49	0%	100%	334	
GLAXO	2012-05-17	722	64.49	46,507					GLAXO	2012-05-17	FYSL			722	46,507	64.49	0%	100%	334	
GUSM	2014-01-29	18,500	5.83	107,600					GUSM	2014-01-29	FYSL			18,500	122,600	6.67	0%	100%	12,322	
	2014-01-29				5,000	37.28	186,400			2014-01-31	FYSL			5,000	86,400	17.28	0%	100%	3,350	
GWLC	2012-09-19				1,000	11.83	11,830		GWLC	2012-09-19	FYSL			1,000	11,830	11.83	0%	100%	1,875	
HCAR	2013-04-04	2,500	57.90	144,750					HCAR	2013-04-04	FYSL			2,500	144,750	57.90	0%	100%	2,580	
	2013-04-04				5,000	153.95	769,750			2013-04-21	FYSL			5,000	769,750	153.95	0%	100%	13,100	
HINOON	2013-04-04	2,500	57.90	144,750					HINOON	2013-04-04	FYSL			2,500	144,750	57.90	0%	100%	2,580	

Agency	Fiscal Year	Actual	Original Budget	Revised Budget	Actual	Original Budget	Revised Budget	Fiscal Year	Actual	Original Budget	Revised Budget	Actual	Original Budget	Revised Budget	Actual	Original Budget	Revised Budget			
IBHL	2013-05-03	1,000	33,000	33,000				IBHL	2013-05-13	FYSL	1,000	33,200	33,200	0%	100%	290				
	2013-05-13				1,000	33,200	33,200			2014-05-29	FYSL	8,500	847,100	99,631	0%	100%	14,169			
	2014-05-29	8,500	97,961	833,855	8,500	99,631	847,100			2014-05-30	FYSL	2,000	198,980	95,491	0%	100%	980			
	2014-05-30	2,000	95,000	198,000	2,000	99,491	198,980			2014-06-17	FYSL	2,500	242,475	96,991	0%	100%	4,975			
	2014-06-16	2,500		237,500						2011-10-06	FYSL	1,000	171,964	57,211	2,500	145,540	58,211	100%	83%	2,521
	2014-06-17				2,500	96,991	242,475			2014-01-29	FYSL	1,900	374,775	197,231	0%	100%	2,802			
	2011-10-06	3,000	57,211	171,964	3,000	58,211	174,640			2014-03-05	FYSL	200	30,990	194,951	0%	100%	1,998			
	2014-01-28	1,000	196,000	196,000						2011-10-07	FYSL	800	149,600	187,000	0%	100%	-			
	2014-01-29	1,000	195,781	195,865	1,900	197,251	324,775			2011-10-28	FYSL	300	61,125	203,751	100%	100%	1,125			
	2014-02-19	1,400	189,461	265,390	1,300	192,181	250,050			2012-06-27	FYSL	300	74,397	247,991	0%	100%	547			
2014-03-05				200	194,951	38,990		2012-06-29	FYSL	300	74,355	247,851	0%	100%	505					
IGHL	2011-10-07	800	187,000	149,600				INDU	2012-07-03	FYSL	400	101,204	253,011	100%	100%	-				
	2011-10-28	300	200,000	60,000					2012-08-03	FYSL	1,000	265,250	265,251	0%	100%	3,150				
	2012-06-27	600	246,171	147,850	300	203,751	61,125			2012-08-30	FYSL	3,500	994,855	284,251	0%	100%	2,371			
	2012-06-29				300	247,991	74,397			2012-09-24	FYSL	1,500	92,850	261,901	0%	100%	6,600			
	2012-07-03	400	253,011	101,204					2012-10-12	FYSL	2,000	487,000	243,501	0%	100%	3,667				
	2012-08-02	1,000	262,101	262,300					2012-11-16	FYSL	1,400	535,560	252,401	0%	100%	6,907				
	2012-08-03				1,000	265,251	265,250			2012-02-13	FYSL	500	150,500	301,001	100%	100%	-			
	2012-08-30	3,500	284,251	994,855	1,500	284,251	392,850			2013-10-25	FYSL	2,000	756,470	528,901	0%	100%	13,780			
	2012-09-24	1,500	261,901	38,000	1,500	261,901	392,850			2013-10-31	FYSL	500	159,995	319,991	0%	100%	2,495			
	2012-10-12	2,000	243,501	150,500	2,000	243,501	487,000			2013-11-04	FYSL	1,200	310,470	327,881	0%	100%	879			
2012-11-16	1,400	535,560	252,401	1,400	535,560	252,401		2013-11-08	FYSL	1,000	338,010	338,011	2,000	673,800	336,901	100%	100%	(1,110)		
2013-02-13	500	150,500	301,001					2013-11-08	FYSL	1,000	339,990	339,991	0%	100%	-					
2013-10-25	2,000	756,470	528,901	2,000	756,470	528,901		2013-11-27	FYSL	4,000	1,362,875	340,731	0%	100%	-					
2013-10-31	500	159,995	319,991	500	159,995	319,995		2014-01-02	FYSL	2,000	684,000	342,001	0%	100%	2,550					
2013-11-04	1,200	310,470	327,881	1,200	310,470	327,881		2014-01-23	FYSL	300	117,000	391,001	0%	100%	2,550					
2013-11-08	1,000	338,010	338,011	1,000	338,010	338,011		2014-01-24	FYSL	6,700	2,654,700	97,401	0%	100%	40,145					
2013-11-08	1,000	339,990	339,991	1,000	339,990	339,991		2014-02-03	FYSL	200	266,175	383,251	0%	100%	2,800					
2013-11-27	4,000	1,362,875	340,731	4,000	1,362,875	340,731		2014-03-13	FYSL	1,000	402,110	400,111	1,000	402,980	402,981	100%	100%	2,670		
2014-01-02	2,000	684,000	342,001	2,000	684,000	342,001		2014-03-18	FYSL	1,000	391,000	391,001	0%	100%	-					
2014-01-23	300	117,000	391,001	300	117,000	391,001		2014-04-17	FYSL	300	229,000	458,001	0%	100%	10,000					
2014-01-24	6,700	2,654,700	97,401	6,700	2,654,700	97,401		2014-05-02	FYSL	200	94,010	470,051	0%	100%	-					
2014-02-03	200	266,175	383,251	200	266,175	383,251		2014-05-15	FYSL	500	92,050	185,901	0%	100%	656					
2014-03-13	1,000	402,110	400,111	1,000	402,110	400,111		2014-05-20	FYSL	3,100	595,800	193,501	0%	100%	39,156					
2014-03-18	1,000	391,000	391,001	1,000	391,000	391,001		2014-05-26	FYSL	300	39,000	193,001	0%	100%	3,000					
2014-04-17	300	229,000	458,001	300	229,000	458,001		2014-05-27	FYSL	100	19,745	197,451	0%	100%	1,745					
2014-05-02	200	94,010	470,051	200	94,010	470,051		2014-05-30	FYSL	100	19,900	190,901	0%	100%	1,900					
ISHL	2014-05-15	500	92,050	185,901				ISL	2014-05-30	FYSL	14,500	98,655	16,191	0%	100%	4,655				
	2014-05-20	3,100	595,800	193,501					2014-01-07	FYSL	2,500	278,375	113,351	0%	100%	13,350				
	2014-05-26	300	39,000	193,001					2014-01-08	FYSL	1,000	113,910	113,911	0%	100%	-				
	2014-05-27	100	19,745	197,451					2014-01-09	FYSL	1,500	175,500	117,101	1,000	121,200	121,201	100%	67%	4,200	
	2014-05-30	100	19,900	190,901					2014-03-04	FYSL	1,000	159,250	159,251	0%	100%	14,490				
	2014-05-31	1,000	391,000	391,001					2011-06-21	FYSL	500	39,745	29,401	0%	100%	905				
	2014-05-31	200	94,010	470,051					2011-09-20	FYSL	500	42,033	81,021	0%	100%	-				
	2014-05-31	2,000	180,000	593,900					2011-09-22	FYSL	300	30,956	85,991	0%	100%	770				
	2014-05-31	200	94,010	470,051					2011-09-29	FYSL	99	8,143	82,251	0%	95%	(175)				
	2014-05-31	1,000	391,000	391,001					2012-11-06	FYSL	500	97,745	119,611	0%	100%	-				
ISL	2013-06-03	24,500	16,000	392,000	24,500	16,191	396,655		2012-11-08	FYSL	500	88,250	117,501	1,500	181,200	130,801	100%	100%	3,755	
	2014-01-06	2,500	106,011	265,035				2012-11-12	FYSL	2,000	245,800	122,901	0%	100%	-					
	2014-01-07				2,500	111,351	278,375		2012-11-13	FYSL	2,000	261,800	130,901	0%	100%	16,000				
	2014-01-08	1,000	113,911	113,910	1,000	116,851	116,850		2012-11-22	FYSL	500	62,250	121,501	0%	100%	-				
	2014-01-09	1,500	117,101	175,500	1,500	121,611	182,450		2012-11-23	FYSL	500	63,995	127,991	0%	100%	1,745				
	2014-03-04	1,000	144,761	144,760	1,000	149,250	159,250		2012-12-10	FYSL	1,500	186,000	121,001	1,800	233,100	128,501	100%	100%	9,300	
	2011-06-21	500	39,745	29,401	500	39,745	29,401		2012-12-11	FYSL	600	72,650	128,851	0%	100%	1,210				
	2011-09-20	500	42,033	81,021	500	42,033	81,021		2012-12-12	FYSL	800	101,150	130,351	1,000	133,970	133,971	100%	100%	1,340	
	2011-09-22	300	30,956	85,991	300	30,956	85,991		2012-12-13	FYSL	1,500	200,850	133,901	0%	100%	1,229				
	2011-09-29	99	8,143	82,251	104	82,351	83,355		2012-12-16	FYSL	1,300	166,000	127,611	0%	100%	1,020				
2012-11-06	500	97,745	119,611	500	97,745	119,611		2012-12-17	FYSL	1,900	225,330	118,701	0%	100%	2,570					
2012-11-08	500	88,250	117,501	500	88,250	117,501		2013-01-22	FYSL	1,700	165,800	97,501	0%	100%	4,934					
2012-11-12	2,000	245,800	122,901	2,000	245,800	122,901		2013-02-18	FYSL	300	6,750	91,501	0%	100%	1,925					
2012-11-13	2,000	261,800	130,901	2,000	261,800	130,901		2013-03-02	FYSL	1,000	93,000	63,901	0%	100%	1,150					
2012-11-22	500	62,250	121,501	500	62,250	121,501		2013-03-28	FYSL	1,000	104,150	104,151	0%	100%	-					
2012-11-23	500	63,995	127,991	500	63,995	127,991														
2012-12-10	1,500	186,000	121,001	1,500	186,000	121,001														
2012-12-11	600	72,650	128,851	600	72,650	128,851														
2012-12-12	800	101,150	130,351	800	101,150	130,351														
2012-12-13	1,500	200,850	133,901	1,500	200,850	133,901														
2012-12-16	1,300	166,000	127,611	1,300	166,000	127,611														
2012-12-17	1,900	225,330	118,701	1,900	225,330	118,701														





	2014-01-29	500	105.00	52,500	500	105.45	52,725		2014-01-29	FYSL		500	52,725	105.45	0%	100%	225			
	2014-02-10	1,000	94.29	94,290	1,000	97.90	97,900		2014-02-10	FYSL		1,000	97,900	97.90	0%	100%	3,610			
NATE	2013-12-03	500		399.00																
	2013-12-04	100		393.00																
	2014-03-26	2,500		472.50					2013-12-04	FYSL		500	20,000	400.00	0%	100%	1,550			
	2014-03-27	3,500		493.03					2014-03-26	FYSL		2,500	1,222,500	489.00	0%	100%	41,250			
	2014-03-28								2014-03-27	FYSL	2,400	1,185,800	493.78	3.100	1,578,950	309.25	62%	100%	56,279	
NMI	2014-04-02	300		567.05					2014-03-28	FYSL		800	410,000	320.00	0%	100%	21,575			
	2013-07-06	6,500		32.70					2014-04-02	FYSL	200	113,410	567.05			100%	0%			
	2011-10-04	9,000		48.52					2011-07-06	FYSL		6,396	338,205	52.86	0%	98%	1,021			
	2011-10-14	6,700		51.01					2011-10-14	FYSL		6,700	344,313	51.39	0%	100%	2,526			
	2011-10-31	1,000		44.56																
	2012-06-29	5,000		48.02					2012-06-29	FYSL		5,000	240,100	48.02		100%	0%			
	2012-07-02	21,400		48.65					2012-07-02	FYSL		24,400	1,187,272	48.65		100%	0%			
	2012-07-03	15,000		48.86					2012-07-03	FYSL		15,000	733,350	48.86		100%	0%			
	2012-09-10	13,500		56.54					2012-09-10	FYSL		13,500	763,420	56.54		100%	0%			
	2012-09-11	19,500		56.02					2012-09-11	FYSL		9,500	532,190	56.02		49%	0			
	2012-11-13	10,000		60.50					2012-11-13	FYSL										
	2013-11-21	5,000		102.45					2013-11-21	FYSL		10,000	606,900	60.69	0%	100%	1,900			
OGDC	2012-06-19	4,000		152.09					2012-06-19	FYSL		3,000	513,700	102.74	0%	100%	1,450			
PACE	2012-03-07	65,000		2.67					2012-03-07	FYSL		0.991	593,815	152.11		92%	0%			
	2012-03-09								2012-03-07	FYSL		15,000	41,100	2.74	0%	100%	1,050			
	2013-06-07	4,000		74.58					2012-03-09	FYSL		15,000	43,450	2.90	0%	80%	3,475			
PAKD	2013-06-24	500		160.00					2013-05-07	FYSL		2,000	151,800	75.90	50%	80%	2,640			
PAKT	2013-06-26																			
	2013-07-03	500		166.05					2013-06-26	FYSL		500	79,995	159.99	0%	100%	(5)			
	2013-07-04	400		174.05					2013-07-03	FYSL		500	83,025	166.05		100%	0%			
	2013-07-05								2013-07-04	FYSL		400	69,620	174.05		100%	100%	1,925		
	2013-11-05	500		318.00					2013-07-05	FYSL		400	74,400	186.00	0%	100%	4,780			
	2013-11-08	500		313.00					2013-11-05	FYSL		500	159,000	318.00	100%	0%				
	2013-11-18	500		323.25					2013-11-08	FYSL		500	156,300	313.00	100%	0%				
	2013-11-19	500		323.05					2013-11-18	FYSL		500	162,625	323.25	100%	0%				
	2013-11-21								2013-11-19	FYSL		500	171,025	342.05	100%	0%				
	2013-11-29	300		425.00					2013-11-21	FYSL										
	2013-12-26	500		503.00					2013-11-29	FYSL		500	212,500	425.00	100%	0%	3,995			
PGCL	2013-08-26	2,100		156.47					2013-12-26	FYSL		500	250,200	503.00	100%	0%				
	2013-08-27	1,500		156.49					2013-08-26	FYSL		2,100	354,740	159.40	0%	100%	6,150			
	2013-09-11	2,400		149.33					2013-08-27	FYSL		1,500	241,500	161.00	0%	100%	6,759			
	2013-09-12	1,000		151.88																
	2013-12-23	1,500		156.41					2013-09-12	FYSL		2,000	449,180	154.83	0%	85%	13,391			
	2014-07-02								2013-12-23	FYSL		1,900	295,046	156.31	100%	0%				
PICT	2012-09-04	5,500		157.69					2014-07-02	FYSL										
	2012-09-05	800		156.00					2012-09-04	FYSL		600	96,438	160.73	0%	100%				
	2012-09-06	800		155.50					2012-09-05	FYSL		5,000	937,050	161.08	0%	100%	79,480			
	2012-09-07																			
	2012-09-11	3,100		151.63					2012-09-07	FYSL		500	79,250	158.50	0	100%	5,000			
	2012-10-12	100		147.00					2012-09-11	FYSL		3,100	486,150	156.50	0%	100%	13,087			
	2012-10-18	400		145.00																
	2012-11-16	1,000		175.11					2012-10-18	FYSL		500	73,980	147.90	0%	100%	1,750			
	2012-11-19	1,000		180.16					2012-11-16	FYSL		1,000	175,110	175.11	100%	0%				
	2013-02-04	100		216.50					2012-11-19	FYSL		1,000	180,160	180.16	100%	0%				
	2013-04-15	3,500		182.72																
	2013-04-16								2013-04-15	FYSL		500	93,980	187.90	0%	100%	2,539			
	2013-04-17								2013-04-16	FYSL		2,000	669,900	184.95	0%	100%	4,436			
	2013-04-18	1,000		180.00					2013-04-17	FYSL		1,000	188,750	188.75	0%	100%				
	2013-04-22	900		179.89																
	2013-04-24	300		182.00					2013-04-22	FYSL		1,000	349,920	184.00	0%	100%	7,946			
	2013-04-30	1,500		196.79					2013-04-24	FYSL		300	54,892	182.94	0%	100%	282			
	2013-05-02	1,200		202.16					2013-04-30	FYSL		1,500	199,900	199.90	100%	100%	3,113			
	2013-05-03	300		212.00					2013-05-02	FYSL		1,200	239,504	202.16	1,000	203,608	344.26	100%	67%	2,000
	2013-05-07	100		213.06					2013-05-03	FYSL										
	2013-07-11	1,100		203.45					2013-05-07	FYSL		100	21,306	213.06	100%	0%	400			
	2013-07-12	1,900		205.30																
	2013-07-29	2,100		207.67					2013-07-12	FYSL		1,000	634,041	211.25	0%	100%	31,701			
	2013-07-31	1,400		212.37																
	2013-08-13	5,000		223.91					2013-07-31	FYSL		4,000	980,000	250.00	0%	100%	76,241			
	2013-08-15	1,600		229.75					2013-08-13	FYSL		5,000	1,110,090	222.01	100%	0%				
	2013-08-20	700		240.00																
	2013-08-21	5,000		222.18					2013-08-20	FYSL		2,500	624,225	246.90	0%	100%	6,133			
	2013-11-13	300		210.00					2013-08-21	FYSL		5,000	450,600	229.00	0%	100%	1,448			
	2013-12-04	1,000		225.67					2013-11-13	FYSL		300	109,000	230.00	0%	100%	6,000			
	2013-12-08	500		224.25																
	2013-12-08	1,100		226.31					2013-12-08	FYSL		600	26,930	229.00	0%	90%	1,200			
	2013-12-09	7,100		211.44					2013-12-09	FYSL		700	165,000	232.00	0%	90%	1,000			
	2013-12-10	2,000		235.81					2013-12-10	FYSL		500	121,170	240.00	0%	90%	2,200			

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	2013-12-11	100	235.00	235.00	1,100	239.95	263,910	2013-12-11	FYSL		900	215,910	239.90	0%	82%	3,270		
	2013-12-19	1,000	232.00	232,000														
	2013-12-20	100	232.00	23,200	1,100	235.48	261,250	2013-12-20	FYSL		1,000	235,950	237.95	0%	91%	(17,250)		
	2014-01-13	500	231.00	115,500														
	2014-01-15				500	235.80	117,900	2014-01-15	FYSL		500	117,900	235.80	0%	100%	2,400		
	2014-03-14	9,700	279.84	2,725,390														
	2014-03-17				9,700	288.93	2,802,765	2014-03-17	FYSL		9,700	2,802,765	288.93	0%	100%	86,097		
	2014-04-08	1,210	272.00	299,200														
	2014-04-09	2,830	277.92	777,449	800	277.67	222,000	2014-04-09	FYSL	1,500	418,500	279.00		54%	0%			
	2014-04-10	3,000	265.05	855,150	800	285.40	228,240	2014-04-10	FYSL	3,000	855,150	285.05		300%	96%	4,140		
	2014-04-11	400	267.00	114,800	4,800	292.38	1,412,575	2014-04-11	FYSL				300	85,740	285.80	100%	96%	4,140
	2014-04-14				600	284.98	170,988	2014-04-14	FYSL		4,400	1,297,375	294.89	0%	92%	73,648		
	2014-04-24	3,100	293.88	913,500	3,100	302.75	941,525	2014-04-24	FYSL		600	170,988	284.98	0%	100%	(42)		
	2014-05-12				300	289.80	86,970	2014-05-12	FYSL		3,100	941,525	302.75	0%	100%	27,513		
	2014-05-28	3,900	290.07	1,130,190	800	292.99	234,392	2014-05-28	FYSL		300	86,970	289.90	0%	98%	2,307		
PKGS	2014-04-22	3,500	350.24	1,225,840				2014-05-28	FYSL	800	230,040	287.55	800	234,392	292.99	21%	100%	2,307
	2014-04-23				3,500	354.70	1,241,950	2014-04-23	FYSL				3,500	1,241,950	354.70	0%	100%	13,606
PNSC	2013-07-08	2,000	52.43	104,865	2,000	52.99	105,980	2013-07-08	FYSL				2,000	105,980	52.99	0%	100%	3,120
	2013-11-19	1,000	52.55	52,550	1,000	53.03	53,025	2013-11-19	FYSL	1,000	52,550	52.55		100%	0%			
PPL	2011-10-25	620	175.98	109,150	620	175.47	109,791	2011-10-25	FYSL				620	108,791	175.47	0%	100%	(13)
	2013-08-05	4,600	220.71	1,015,266	4,600	220.68	1,017,415	2013-08-05	FYSL				4,500	995,400	221.20	0%	98%	2,205
	2013-11-27	2,000	207.00	414,000	2,000	207.14	414,280	2013-11-27	FYSL				2,000	414,280	207.14	0%	100%	281
PKL	2014-01-01	10,000	77.86	778,730	500	78.75	39,375	2014-01-01	FYSL				500	39,375	78.75	0%	100%	447
	2014-01-03				9,000	78.10	702,900	2014-01-03	FYSL				9,000	702,900	78.10	0%	100%	2,395
PSEL	2014-04-18	200	513.74	102,748				2014-04-18	FYSL				200	513.95	513.95	0%	100%	221
	2014-05-30	100	497.66	49,766	100	513.95	51,395	2014-05-30	FYSL				100	49,766	497.66	100%	0%	
	2014-06-03				200	519.00	103,800	2014-06-03	FYSL				200	103,800	519.00	0%	100%	2,880
PSMC	2013-03-27	1,000	99.49	99,490	1,000	99.49	99,490	2013-03-27	FYSL				1,000	99,490	99.49	0%	100%	590
	2014-05-14	1,000	196.10	196,100	1,000	196.85	196,850	2014-05-14	FYSL	1,000	196,100	195.10	1,000	196,850	196.85	100%	100%	1,780
PSO	2013-11-27	4,600	334.80	1,540,140	4,600	334.80	1,542,080	2013-11-27	FYSL				4,600	1,542,080	334.80	0%	100%	1,840
REWSM	2013-12-05	1,000	40.05	40,050				2013-12-05	FYSL				1,000	40,200	40.20	0%	100%	130
	2013-12-10				1,000	40.20	40,200	2013-12-10	FYSL				1,000	40,200	40.20	0%	100%	130
RUPL	2014-01-08	1,000	21.40	21,400				2014-01-08	FYSL				1,000	21,400	21.40	0%	100%	900
	2014-01-07				1,000	21.40	21,400	2014-01-07	FYSL				1,000	21,400	21.40	0%	100%	3,568
SEPCO	2012-12-10	25,000	1.69	38,700	25,000	1.69	39,715	2012-12-10	FYSL				25,000	39,715	1.69	0%	100%	675
SEPL	2011-10-27	1,000	36.45	36,450	1,000	36.45	36,450	2011-10-27	FYSL				1,000	36,450	36.45	0%	100%	875
SEL	2011-04-27				90	116.90	10,521	2011-04-27	FYSL				90	10,521	116.90	0%	100%	-
	2011-05-20	17	123.90	2,106				2011-05-20	FYSL				17	2,106	123.90	100%	0%	-
	2011-08-16				100	117.70	11,770	2011-08-16	FYSL				100	11,770	117.70	0%	100%	-
	2011-08-18	100	118.59	11,859				2011-08-18	FYSL				100	11,859	118.59	100%	0%	-
	2012-08-07	1,000	125.00	125,000				2012-08-07	FYSL				1,000	125,000	125.00	0%	100%	1,495
	2012-08-08				500	125.99	62,995	2012-08-08	FYSL				500	62,995	125.99	0%	100%	4,333
	2012-08-09				500	131.67	65,835	2012-08-09	FYSL				500	65,835	131.67	0%	100%	315
	2012-08-27	500	136.15	68,075	500	136.15	68,075	2012-08-27	FYSL				500	68,075	136.15	0%	100%	3,075
	2012-08-28				500	136.15	68,075	2012-08-28	FYSL				500	68,075	136.15	0%	100%	3,075
	2012-10-02	1,000	139.00	139,000				2012-10-02	FYSL				1,000	139,000	139.00	0%	100%	6,000
	2012-10-05				1,000	139.00	139,000	2012-10-05	FYSL				1,000	139,000	139.00	0%	100%	22,500
	2013-09-06	3,000	245.00	735,000	3,000	245.00	735,000	2013-09-06	FYSL				3,000	735,000	245.00	0%	100%	3,500
	2014-03-28	500	302.00	151,000	500	302.00	151,000	2014-03-28	FYSL				500	151,000	302.00	0%	100%	35,684
	2014-03-31	10,800	309.90	3,346,920	10,800	309.90	3,346,920	2014-03-31	FYSL				10,800	3,346,920	309.90	0%	100%	1,580
	2014-04-03	200	303.90	60,780	200	303.90	60,780	2014-04-03	FYSL				200	60,780	303.90	0%	100%	34,713
	2014-04-22	2,500	298.85	827,000	2,500	298.85	827,000	2014-04-22	FYSL				2,500	827,000	298.85	0%	100%	3,025
	2014-05-12				300	302.95	90,885	2014-05-12	FYSL				300	90,885	302.95	0%	100%	0%
	2014-05-20	800	305.25	244,200	800	305.25	244,200	2014-05-20	FYSL	800	244,200	305.25		100%	0%			
	2014-05-21	2,000	317.11	634,308	2,000	317.11	634,308	2014-05-21	FYSL	2,000	634,308	317.11		100%	0%			
	2014-05-22	5,100	315.67	1,610,400	5,100	315.67	1,610,400	2014-05-22	FYSL	1,300	467,500	312.00	3,200	982,400	307.00	25%	99%	8,145
	2014-05-26	200	300.00	60,000	200	307.00	61,400	2014-05-26	FYSL				200	61,400	307.00	0%	100%	1,400
	2014-05-28				2,100	314.50	660,450	2014-05-28	FYSL				2,100	660,450	314.50	0%	100%	10,445
	2014-06-05				200	300.99	60,198	2014-06-05	FYSL				200	60,198	300.99	0%	100%	(1,711)
	2014-06-08				200	301.95	60,390	2014-06-08	FYSL				200	60,390	301.95	0%	100%	(1,519)
SELL	2014-07-02				300	67.12	20,136	2014-07-02	FYSL				300	20,136	67.12	0%	100%	
SITC	2013-05-06	500	193.25	96,625				2013-05-06	FYSL				500	96,625	193.25	0%	100%	
	2013-05-08	3,500	195.17	683,825	4,000	193.63	774,750	2013-05-08	FYSL				4,000	774,750	193.63	0%	100%	12,280
	2013-05-09	1,000	189.05	189,050	1,000	189.05	189,050	2013-05-09	FYSL	1,000	189,050	189.05		100%	0%			
	2013-05-13				1,000	191.47	191,500	2013-05-13	FYSL				1,000	191,500	191.47	0%	100%	1,425
	2013-05-21	3,000	195.54	587,500	3,000	195.54	587,500	2013-05-21	FYSL				3,000	587,500	195.54	0%	100%	2,103
	2013-05-24	400	194.00	77,600	400	195.66	78,264	2013-05-24	FYSL				400	78,264	195.66	0%	100%	
	2013-06-04	1,000	200.10	200,100	1,000	200.00	200											

	2013-12-20	500	232.08	116,950	500	233.20	116,900		2013-12-20	FYSL				500	116,900	233.20	0%	100%	363			
	2013-12-31	7,200	239.93	1,725,280	6,200	240.96	1,493,700		2013-12-31	FYSL	6,200	1,493,700	238.91				6%	0%				
	2014-01-01	11,900	248.76	2,962,376	12,000	250.90	3,233,413		2014-01-01	FYSL	3,000	741,300	247.10	10,100	2,555,300	251.00	25%	78%	42,841			
SNAI	2012-04-18	500	45.00	22,500				SNAI														
	2012-04-23				500	46.35	23,175		2012-04-23	FYSL				500	23,175	46.35	0%	100%	675			
	2012-05-08	1,500	42.57	64,175	1,500	43.49	65,235		2012-05-08	FYSL				1,500	65,235	43.49	0%	100%	1,385			
	2012-11-22	5,000	51.31	256,035	5,000	51.97	259,770		2012-11-22	FYSL				5,000	259,770	51.97	0%	100%	3,313			
	2013-09-23	2,000	54.48	108,950																		
	2013-10-01				2,000	68.25	136,500		2013-10-01	FYSL				2,000	136,500	68.25	0%	100%	27,533			
SNGP	2013-09-27				10,000	21.35	213,500	SNGP						9,000	192,150	21.35	0%	90%				
SPL	2011-05-12	10,653	16.03	170,789	10,653	18.17	193,565	SPL						10,653	193,565	18.17	0%	100%	1,502			
	2011-06-22	10,000	17.10	171,000	10,000	17.32	173,200		2011-06-22	FYSL				10,000	173,200	17.32	0%	100%	2,300			
	2011-07-22	16,000	16.84	269,040	16,000	16.98	271,680		2011-07-22	FYSL				15,017	255,328	16.98	0%	94%	2,036			
	2011-07-25	10,550	17.23	181,608	14,165	17.22	245,667		2011-07-25	FYSL				11,350	200,810	17.35	0%	82%	1,314			
	2011-08-19	16,343	13.27	216,872	16,343	13.77	225,043		2011-08-19	FYSL	16,343	216,872	13.27	16,343	225,043	13.77	100%	100%	6,172			
	2012-07-04	38,800	9.77	378,160	33,800	9.86	332,960		2012-07-04	FYSL	38,700	377,178	9.76	500	4,950	9.90	100%	3%	64			
	2012-09-10	20,000	10.81	216,200	16,000	11.00	176,000		2012-09-10	FYSL	20,000	216,200	10.81	3,500	38,500	11.00	100%	22%	665			
	2012-09-11	7,000	10.76	75,320	11,000	10.89	119,790		2012-09-11	FYSL	7,000	75,320	10.76	11,000	119,790	10.89	100%	100%	1,230			
SRVI	2012-12-04	3,800	169.55	644,385	3,500	172.38	603,500	SRVI						3,500	603,500	172.38	89%	100%	9,892			
	2012-12-05	100	170.00	17,000	400	171.90	68,760		2012-12-05	FYSL	1,500	255,000	170.00	400	68,760	171.90	0%	100%	895			
SSGC	2011-10-14	9,000	25.17	201,305				SSGC														
	2011-12-13	4,680	17.00	79,560	4,678	17.34	81,117		2011-12-13	FYSL					4,678	81,117	17.34	0%	100%	1,590		
	2011-12-20	2,000	18.69	37,471	2,000	18.89	37,780		2011-12-20	FYSL				2,000	37,780	18.69	0%	100%	396			
	2011-12-22	4,800	18.72	85,129	4,600	18.96	87,301		2011-12-22	FYSL				4,600	87,301	18.96	0%	100%	1,113			
	2011-12-23	2,487	18.71	46,514	2,487	18.89	46,979		2011-12-23	FYSL				2,487	46,979	18.89	0%	100%	448			
	2012-02-09	1,500	18.06	27,090	1,500	19.18	28,770		2012-02-09	FYSL				1,500	28,770	19.18	0%	100%	335			
	2012-04-16	10,000	21.50	215,000	2,689	21.83	58,046		2012-04-16	FYSL				2,689	58,046	21.83	0%	100%	877			
	2012-04-17	3,107	21.60	66,948	10,107	21.74	219,656		2012-04-17	FYSL				2,000	43,020	21.51	9,400	204,286	21.74	64%	93%	1,998
	2012-04-25	2,372	21.50	50,958	5,000	21.69	108,450		2012-04-25	FYSL				5,000	108,450	21.69	0%	100%	950			
STJT	2011-07-26	500	27.70	13,850				STJT														
	2011-07-29				490	28.94	14,411		2011-07-29	FYSL												
	2011-11-02	1,000	22.33	22,330	1,000	22.33	22,368		2011-11-02	FYSL				1,000	22,368	22.33	0%	100%	433			
	2012-05-31	400	26.00	10,400	400	26.90	10,760		2012-05-31	FYSL				400	10,760	26.90	0%	100%	396			
	2013-11-21	1,000	44.10	44,100	1,000	44.10	44,100		2013-11-21	FYSL				1,000	44,100	44.10	0%	100%	1,100			
STPL	2012-08-13	2,000	8.45	16,900	2,000	8.45	16,900	STPL						2,000	16,900	8.45	0%	100%	900			
THALL	2013-12-17	700	146.84	102,790	300	147.90	73,950	THALL						500	73,950	147.90	0%	100%	529			
Grand Total		1,744,541			1,705,248			Grand Total			351,451			1,409,217					1,886,386			

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